

ANNEX 2 TO THE CALL FOR AWARD OF ADDITIONAL FUNDING TO ALREADY CONTRACTED PROJECTS

SELECTION CRITERIA (INCLUDING EVALUATION SHEET)

Programme Title:	Climate Change Mitigation and Adaptation (SK-Climate)
Call Title:	Call for Award of Additional Funding to Already Contracted Projects
Funding Source:	EEA Grants/Norway Grants and State Budget of the Slovak Republic

I. ADMINISTRATIVE COMPLIANCE CRITERIA

No.	Verification Question:	Method of Verification:	Elimination Criterion ¹ :	Comment:
1.	Was the Additional Funding Request submitted in the pre-defined xls/xlsx form, in the English language?	yes/no	yes	
2.	Was the Additional Funding Request submitted in the paper form or through electronic mailbox including the electronic version of the xls/xlsx document?	yes/no	yes	
3.	Was the Additional Funding Request submitted by the deadline of the Call closure?	yes/no	yes	
4.	Was in the framework of the Project submitted one Additional Funding Request or, if several Additional Funding Requests were submitted, is this Additional Funding Request registered first?	yes/no	yes	
Number of met criteria:				

¹ When evaluating at least one verification question in Part I. with a negative answer of "no", the Additional Funding Request will not be evaluated further and will be automatically not approved.

II. ELIGIBILITY CRITERIA – BASIC AND SPECIFIC CONDITIONS OF THE CALL

No.	Verification Question:	Method of Verification:	Elimination Criterion ²	Comment:
1.	Is the Applicant eligible to submit the Additional Funding Request? (this means that the Applicant is a Project Promoter, have a valid and effective Project Contract in the framework of the Call No. 1 (ACC01)/Call No. 2 (ACC02)/SGS Call No. 1 (ACC03)/Call No. 4 (ACC04) and the Project is in the stage of implementation)	yes/no	yes	
2.	Is the Project eligible for award of additional funding? (this means that the Project Budget involves ≥50% of Direct Expenditure for hard measures on mitigation and/or adaptation on climate change correctly classified as „Investment costs“)	yes/no	yes	
3.	Does the maximum additional funding to be applied per Project comply with the limit? (this means that the amount of requested additional funding per Project is ≤25% of the Total Eligible Expenditure stated in the Point 2.1.1 of the Annex 1 to the Project Contract)	yes/no	no	
3A.	Was an Additional Funding Request by the Applicant approved in the first round of the Call?	yes/no/ N/A	-	
3B.	If yes, is the condition defined in the Verification question No. 3 still met?	yes/no	-	
	Is the modification by the Programme Operator needed?	yes/no	-	
4.	Is the co-financing to the additional funding set correctly? (this means that the percentage of the Project Grant is the same as in the point 2.1.3 of the Annex 1 to the Project Contract)	yes/no	no	
	Is the modification by the Programme Operator needed?	yes/no	-	
Number of met criteria:				

² When evaluating at least one verification question in Part II. with a negative answer of "no" and when the modification by the Programme Operator is not possible to carry out, the Programme Operator will recommend to the Selection Committee to not approve this Additional Funding Request.

III. ELIGIBILITY CRITERIA – ELIGIBILITY OF ACTIVITIES

No.	Verification Question:	Method of Verification:	Elimination Criterion ³ :	Comment:
1.	<p>Are all additional funds requested only for eligible measures – technical (hard) measures on climate change mitigation and/or adaptation?</p> <p>(this means hard measures contributing to the indicator “Number of climate change mitigation and adaptation measures implemented” under the Output 1.1 (Call ACC01/ACC02) / “Number of physical climate change responsive measures carried out in schools” under the Output 1.2 (Call ACC03) / “Estimated area of wetland ecosystems restored (in m²)” under the Output 2.1 (Call ACC04)</p>	yes/no	yes	
	<p>If not, is the modification by the Programme Operator possible?</p> <p>(this means that in case when the additional funding is requested also for non-eligible activities, it is possible to clearly separate them by subject matter and costs from eligible activities by the Programme Operator in order to adjust the amount of requested additional funds)</p>	yes/no/ N/A	-	
2.	<p>Is the necessity of additional funding for the implementation of eligible activities sufficiently justified?</p> <p>(this means that the Applicants has clearly and specifically stated the relevant circumstances, in the context of which the Project cannot be completed without awarding the additional funding in the scope of agreed Project results in line with the Project Contract, mainly what steps did he take in order to decrease the price for relevant works, did he achieve savings within the Projects and for what purpose did he use them, for what purposes did he use the Project Reserve, if applicable, did he look for and find other sources for financing the Project and what (loan, credit etc.)</p>	yes/no	yes	
3.	<p>Does the additional funding will allow the Applicant to complete the Project eligible activities within the eligibility period (30th April 2024)?</p>	yes/no	yes	

³ When evaluating at least one verification question in Part III. with a negative answer of "no" and when the modification by the Programme Operator is not possible to carry out, the Programme Operator will recommend to the Selection Committee to not approve this Additional Funding Request.

	<p>(this means that the Applicant following the need for additional funding clearly and specifically stated the relevant circumstances in the context of which the Project cannot be completed in the framework of the eligibility period without awarding the additional funding, mainly the current schedule for works, for which additional funding is requested, including an assessment of the impact on performance related Project Activities as well as expected risks that could have an impact on any delay in the implementation of relevant Project Activities.</p>			
<p>Number of met criteria:</p>				

IV. ELIGIBILITY CRITERIA – ELIGIBILITY OF EXPENDITURE AND SPECIAL RULES ON ELIGIBILITY OF EXPENDITURE

No.	Verification Question:	Method of Verification:	Elimination criterion ⁴ :	Comment:
1.	Is additional funding requested in line with the currently valid Project Application classified as direct expenditures - “Project costs entailed by other contracts” that are subject to the conclusion of contracts in accordance with the applicable rules for public procurement and the Regulation (in line with Article 8.3 f) of the Regulation)?	yes/no	yes	
	If not, is the modification by the Programme Operator possible? (this means that in case when the additional funding is requested also for non-eligible activities in line with the Chapter 4 of the Call, it is possible to clearly separate them by subject matter and costs from eligible activities by the Programme Operator in order to adjust the amount of requested additional funds)	yes/no/ N/A	-	
2.	Are met the following conditions on cost eligibility intended for additional funding of Project activities:		-	
a)	Is / will be the Project Reserve, if there is any allocated or was not fully spent yet, used to cover the increased (additional) Project expenditures and the amount of additional funding requested is beyond the Project Reserve? (this means that the unused Project Reserve is remaining in the Project Budget)	yes/no/ N/A	no	
	Is the modification by the Programme Operator needed? (this means that the additional funding will be automatically decreased by the remaining amount of the Reserve in the framework of the Project Budget, if applicable)	yes/no	-	

⁴ When evaluating at least one verification question in Part IV. with a negative answer of "no" and when the modification by the Programme Operator is not possible to carry out, the Programme Operator will recommend to the Selection Committee to not approve this Additional Funding Request.

b)	Are the expenditures defined in the Project Budget in approved Project Application (as amended based on Project change approval by the Programme Operator, if applicable)?	yes/no	yes	
	If not, is the modification by the Programme Operator possible? (this means that it is possible to clearly separate the non-eligible expenditure beyond the Project Budget of the approved Project Application by subject matter and costs from eligible expenditures by the Programme Operator in order to adjust the amount of requested additional funds)	yes/no	-	
c)	Are the expenditures in the budget of the Additional Funding Request of type of expenditure “Project costs entailed by other contracts” and classified as “Investment costs” in line with the currently valid version of the Project Application?	yes/no	yes	
	If not, is the modification by the Programme Operator possible? (this means that it is possible the expenditure assigned to different type of expenditure unequivocally by subject matter and costs by the Programme Operator from the eligible expenditures in order to adjust the amount of requested additional funds)	yes/no	-	
d)⁵	Are the Project Expenditures result of the public procurement in line with the national legislation and the public procurement documentation was subject of control of the Programme Operator at the stage before concluding the contract (if applicable)?	yes/no/ N/A	yes	
	If not, is the modification by the Programme Operator possible? (this means that it is possible to separate by the Programme Operator the expenditure that doesn't meet the eligibility condition in line with Point d) in order to adjust the amount of requested additional funds. It is necessary to take into account the amount of financial correction granted, if applicable.)	yes/no/ N/A	-	
e)⁵ Chyba! Záložka nie	Is the supplier-customer relationship contracted as the result of the public procurement or addendum to existing work contract is concluded in line with the national legislation	yes/no/ N/A	yes	

⁵ In case of verification questions No. 2 d) and 2 e) one of them must be evaluated positively or negatively. This means that N/A is possible to state only in case of one of these 2 verification questions.

je definovaná.	and the public procurement documentation was subject of control of the Programme Operator (if applicable)?			
	If not, is the modification by the Programme Operator possible? (this means that it is possible to separate by the Programme Operator the expenditure that doesn't meet the eligibility condition in line with Point e) in order to adjust the amount of additional funding requested. It is necessary to take into account the amount of financial correction granted, if applicable)	yes/no/ N/A	-	
f)	Isn't the amount of single Expenditure item agreed in the Project Budget in approved Project Application (as amended based on Project change approval by the Programme Operator, if relevant) increased by more than 50%? (this means that the expenditures exceed the limit of 50% of original amount of expenditures planned for the relevant Expenditure item in approved Project Budget)	yes/no	yes	
	If not, is the modification by the Programme Operator possible? (this means that it is possible to unequivocally identify Expenditure items that don't meet the eligibility condition in line with Point f) in order to adjust the amount of additional funding requested)	yes/no	-	
Number of met criteria:				

V. CRITERIA FOR SETTING OF PRIORITISED PROJECTS

No.	Verification Question:	Method of Verification:	Comment:
1.	Does the Additional Funding Request involve Project Expenditure related to the implementation of hard measures that contribute to the Programme Outcome “Estimated annual CO ₂ emissions reductions of supported entities (in tonnes)”, in the amount ≥50% of total requested additional funding?	yes/no	
2.	Is that a Project with ≥80% of Direct expenditures allocated to “hard” measures on climate change mitigation and adaptation in line with the approved Project Application?	yes/no	
3.	Are the requested additional funds exclusively based on the concluded supplier-customer contracts or addendum to existing work contracts already concluded as the result of the public procurement in line with the national legislation and internal control executed by the Programme Operator for expenditures requested for additional funding?	yes/no	
4.	Is that a Projects that achieved savings within the defined Expenditure items of Project Budget and achieved savings were/will be used in manner to support the implementation of hard measures on climate change mitigation and/or adaptation?	yes/no	
Number of met criteria			

VI. SUMMARISATION OF VERIFICATION OF MEETING THE SELECTION CRITERIA

Based on the verification of meeting the criteria for award the additional funds the Additional Funding Request will be submitted to the Selection Committee with the recommendation

to approve
to approve with the following modification...
to not approve based on....

Prioritised Project: yes/no
Total number of met criteria: